



The Energy and Water Agency, Pinto Business Center, Triq il-Mithna, Qormi, QRM 3104
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Promotion of Energy Audits in Small and Medium Sized Enterprises Scheme – Scheme B applications under the General Block Exemption Regulation (Commission Regulation No. 651 of 2014). A grant for small and medium enterprises following the execution of an energy audit identifying energy consumption, energy saving opportunities and investments.

APPLICATION FOR REQUESTING AN ENERGY AUDIT IN A SMALL AND MEDIUM SIZED ENTERPRISE

To be filled by applicant (use BLOCK letters please)

1.0 Details of the Applicant

Please insert your personal details if applying as an individual. If the application is being submitted on behalf of an Undertaking, which is not a natural person, (See Note 1), the details of the Legal Representative shall be inserted instead.

Note 1: "Undertaking" means any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. For the purposes of this definition "entity" includes any natural or legal person engaged in the provision of a good or service on the market" Note that the name of the Undertaking should be the same as the electricity account holder on the ARMS Ltd. electricity bill.

Full Name of Individual or Legal Representative:			Contact Address:	
I.D. / Passport No.:	Tel. No. (Fixed Line):	Tel. No. (Mobile):		
Email:				
Name of Undertaking (if applicable):				
VAT No. (if applicable):			Company (or equivalent) Reg. No. / Trading License No: (if applicable):	
Size of Undertaking (if applicable): Small <input type="checkbox"/> Medium <input type="checkbox"/>			NACE code of Undertaking's main economic activity (if applicable):	

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I / we, the undersigned applicant(s) applying for a grant to carry out an Energy Audit as per Government Notice:

- (a) hereby declare that I/we shall at all times comply in every respect with the conditions attached to any authorisation that may be granted, Regulations and State Aid Rules that may be applicable to the allocation of the grant;
- (b) hereby declare that I/we shall allow that details of the applicant and grant or any other information pertaining to this application be made public including through publication of any information on a web-site;
- (c) hereby declare that I/we shall at all times comply with the lawful directions of the Energy and Water Agency;
- (d) hereby declare that I/we provided information which to the best of my knowledge is accurate and complete in all respects and shall authorise the Energy and Water Agency to carry out the necessary investigations in order to verify this information;
- (e) hereby declare that I/we authorize other Government bodies and national constituted bodies to share information related to the SME status of the enterprise applying to the Scheme.
- (f) hereby declare that I/we shall give consent to the Energy and Water Agency to retrieve personal data from any Governmental Department, public body, institution or authority and give consent to the Authority to request the Commissioner of Police to submit a complete record of my criminal convictions in terms of the Conduct Certificate Ordinance (Cap. 77);
- (g) hereby declare that I/we also undertake to provide any other information that may be requested from time to time by the Agency including the organisation's audited accounts and invoices related to the Energy Audit; and
- (h) hereby declare that I/we understand that in case of any breach or non-compliance to the authorisation conditions, Regulations and State Aid Rules, the Energy and Water Agency reserves the right to void this application and in case of payment of a grant, I/we agree to reimburse the amount paid for the audit;
- (i) hereby declare that there has not been any start of works prior to this application.; 'start of works' means the date when a certified energy auditor has been appointed by the me/us, the applicants, to carry out the Energy Audit.
- (j) hereby declare that I/we hereby declare that to the best of my knowledge all the details contained in this application and attachments are correct and that the application may become void if proven otherwise;

And further declare in the case of an application by an Undertaking that:

- (k) I/We declare that I/we have NOT been found guilty and/or have NOT been convicted of a criminal offence and, or have NOT been subject to any form of enforcement action by any official authority in the past 5 years and, or there are NO pending charges against me.
- (l) I/We declare that I/we have NEVER had a licence or permit and, or other form of registration of any kind refused, suspended, revoked or cancelled by any regulatory authority.

Further to the above, in the case of an Undertaking:

- (m) I/We declare that the undertaking in 1. above, is an undertaking which is not subject to an outstanding recovery order following a previous European Commission decision declaring an aid illegal and incompatible with the internal market;

(n) **TICK ONE OPTION:**

Either

I/We declare that the undertaking in 1. above, is not an "undertaking in difficulty" as defined in article 2 of Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty on the Functioning of the European Commission.

Or

I/We declare that the undertaking in 1. above, was not, based on the definition in article 2 of Commission Regulation (EU) No 651/2014 of 17 June 2014 declaring certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty on the Functioning of the European Commission, an "undertaking in difficulty" on the 31st of December 2019, but became an undertaking in difficulty in the period from 1 January 2020 to June 2021.

Signature of all the required number of signatories	Full Name(s) of Signatory/ Signatories):	Position held	Identity Card / Passport No.:	Date (dd/mmm/yyyy)	Contact Telephone/ Mobile No.:
				___/___/___	
				___/___/___	
				___/___/___	

DOCUMENTS TO BE SUBMITTED TOGETHER WITH THE APPLICATION

1. A copy of the ID Card / passport details of applicant Or of all the Signatory/ Signatories in case of a Company or Organisation.	<input type="checkbox"/>
2a. A copy of the VAT Certificate in case of a sole trader.	<input type="checkbox"/>

For Office Use Only

Received by: _____ Date received (dd/mm/yyyy): ____/____/____

Application Reference No.: _____

Signed: _____ Official Stamp:

This application is valid for 3 (three) months from the date of submission.

Annex A:

Article 2 – Definitions

'undertaking in difficulty' means an undertaking in respect of which at least one of the following circumstances occurs:

- (a) In the case of a limited liability company (other than an SME that has been in existence for less than three years or, for the purposes of eligibility for risk finance aid, an SME within 7 years from its first commercial sale that qualifies for risk finance investments following due diligence by the selected financial intermediary), where more than half of its subscribed share capital has disappeared as a result of accumulated losses. This is the case when deduction of accumulated losses from reserves (and all other elements generally considered as part of the own funds of the company) leads to a negative cumulative amount that exceeds half of the subscribed share capital. For the purposes of this provision, "limited liability company" refers in particular to the types of company mentioned in Annex I of Directive 2013/34/EU³⁴ and "share capital" includes, where relevant, any share premium.
- (b) In the case of a company where at least some members have unlimited liability for the debt of the company (other than an SME that has been in existence for less than three years or, for the purposes of eligibility for risk finance aid, an SME within 7 years from its first commercial sale that qualifies for risk finance investments following due diligence by the selected financial intermediary), where more than half of its capital as shown in the company accounts has disappeared as a result of accumulated losses. For the purposes of this provision, "a company where at least some members have unlimited liability for the debt of the company" refers in particular to the types of company mentioned in Annex II of Directive 2013/34/EU.
- (c) Where the undertaking is subject to collective insolvency proceedings or fulfils the criteria under its domestic law for being placed in collective insolvency proceedings at the request of its creditors.
- (d) Where the undertaking has received rescue aid and has not yet reimbursed the loan or terminated the guarantee, or has received restructuring aid and is still subject to a restructuring plan.
- (e) In the case of an undertaking that is not an SME, where, for the past two years:
 - (1) the undertaking's book debt to equity ratio has been greater than 7.5 and
 - (2) the undertaking's EBITDA interest coverage ratio has been below 1.0.